



Supporting Document for Account Opening

開戶所需文件

Please bring along the following supporting documents and visit our Company for opening securities or futures accounts:

請帶同有關證明文件，親臨聯合證券營業地點，辦理開戶手續：

A. Individual / Joint Account (個人/聯名帳戶)

1.	HKID Card / passport of Account Holder(s) For Mainland Chinese: PRC ID Card + Valid Exit-Entry Permit to HK/Macau + Landing Slip	1.	開戶人身份證/有效旅遊證件正本 內地客戶： 中國身份證 + 港澳通行證 + 入境標籤
2.	Residential Address Proof and/or Mailing Address Proof (Within last THREE months)	2.	所有聯名人須提供最近三個月之住址及/或通訊地址證明（如通訊地址與住址不同）
3.	Bank Book / Bank Statement	3.	銀行戶口簿或結單
4.	For Professional Investor(s) Only: One or more custodian statement(s) issued within 12 months with total assets of not less than 8 million HKD	4.	只適用於專業投資者： 最近 12 個月內的銀行或股票戶口結單以證明總資產不少於 800 萬元港幣。（可提交多於一份）

B. Corporate Account (有限公司帳戶)

1.	Certified Copy of Board Resolution for A/C Opening	1.	董事會開戶決議記錄驗證副本
2.	Certified Copy of Memorandum & Articles of Association	2.	公司組織大綱及章程驗證副本
3.	Certified Copy of Certificate of Incorporation	3.	公司註冊證驗證副本
4.	Certified Copy of Business Registration Certificate	4.	商業登記證驗證副本
5.	Certified Copy of Register of Members	5.	股東登記冊驗證副本
6.	Certified Copy of Register of Directors	6.	公司董事名冊驗證副本
7.	HKID Card / Passport Copy of all Directors, Major Shareholders, & Authorized Persons	7.	董事，主要股東及授權簽名人身份證副本
8.	Address Proof (Within last THREE months) of the Corporate Client, Major Shareholders & Directors	8.	公司，主要股東及董事須提供最近三個月之住址及/或通訊地址證明（如通訊地址與住址不同）
9.	For Corporate Professional Investor(s) Only: One or more custodian statement(s) issued within 12 months to the corporation with total assets of not less than 40 million HKD	9.	只適用於專業投資者： 最近 12 個月內的銀行或股票戶口結單以證明總資產不少於 4000 萬元港幣。（可提交多於一份）

C. Additional Documents for Overseas Incorporated Corporate Account (海外公司帳戶須提供之額外文件)

1.	Certificate of Incumbency (for Company Incorporated in British Virgin Islands / Bermuda)	1.	董事在職證明（只適用於英屬處女島或百慕達註冊成立之海外公司）
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